

# **McIntire-Stennis Cooperative Forestry Research Program**

**Fiscal Year (FY) 2023 Request for Applications**

**APPLICATION DEADLINE: October 31, 2022**



United States  
Department of  
Agriculture

National Institute  
of Food and  
Agriculture

**NATIONAL INSTITUTE OF FOOD AND AGRICULTURE; U.S. DEPARTMENT OF AGRICULTURE**

**McIntire-Stennis Cooperative Forestry Research Program Initial Announcement**

**Assistance Listing Number:** Formerly known as the Catalog of Federal Domestic Assistance (CFDA). This program is listed in the Assistance Listings under 10.202.

**Dates:** Applications must be received by **5:00 p.m. Eastern Time on October 31, 2022**. Comments regarding this request for applications (RFA) are requested within six months from the issuance of this notice. Comments received after that date will be considered to the extent practicable.

**Advancing Diversity, Equity, Inclusion, and Accessibility (DEIA).** NIFA recognizes research, education, and extension efforts will have the greatest impacts when programs are grounded in DEIA. NIFA is committed to enhancing diversity, equity, inclusion, and accessibility of programs and encourages individuals, institutions, and organizations from underserved communities to apply to funding opportunities as lead, co-lead, or subaward recipient(s), and to engage as leaders in the peer panel review process to support the development of strong networks and collaborations. NIFA encourages applications that engage diverse communities and have broad impacts through research, education, extension, and integrated activities to address current and future challenges.

**Stakeholder Input:** The National Institute of Food and Agriculture (NIFA) seeks your comments about this RFA. We will consider all comments when we develop the next RFA for the program, if applicable, and we will use the input to meet the requirements of Section 103(c)(2) of the Agricultural Research, Extension, and Education Reform Act of 1998 ([7 U.S.C. 7613\(c\)\(2\)](#)). Submit written stakeholder comments by the deadline set forth in the DATES portion of this notice to [Policy@usda.gov](mailto:Policy@usda.gov). This email address is intended only for receiving comments regarding this RFA and not for requesting information or forms. In your comments, state that you are responding to the McIntire-Stennis Cooperative Forestry Research Program RFA.

## EXECUTIVE SUMMARY

NIFA requests applications for the McIntire-Stennis (M-S) Cooperative Forestry Research Program for fiscal year (FY) 2023 to assist all states in carrying out a program of state forestry research at state forestry schools and colleges and developing a trained pool of forest scientists capable of conducting needed forestry research under the following research topics: 1) Reforestation and management of land for the production of crops of timber and other related products of the forest; 2) Management of forest and related watershed lands to improve conditions of water flow and to protect resources against floods and erosion; 3) Management of forest and related rangeland for production of forage for domestic livestock and game and improvement of food and habitat for wildlife; 4) Management of forest lands for outdoor recreation; 5) Protection of forest land and resources against fire, insects, diseases, or other destructive agents; 6) Utilization of wood and other forest products; 7) Development of sound policies for the management of forest lands and the harvesting and marketing of forest products.

This RFA is being released prior to the enactment of an FY2023 Appropriations Act. Subject to any FY 2023 Continuing Resolution, NIFA will distribute funds to the institutions according to the amounts allocated in FY 2022. NIFA will adjust the allocations for FY 2023 once appropriations are finalized. The amount available for support of this program in FY 2022 was \$69,400,680. [Appendix A](#) of this RFA includes specific FY 2022 distributions. NIFA will issue FY 2023 payments subject to the availability of funds and the applicant having met the previous year's reporting requirements. [Part III](#), B of this RFA identifies if matching is a requirement and, if so, you should pay particular attention to the matching instructions included in [Part IV](#), B, 4 of this RFA.

This notice identifies the objectives for M-S project/program, the eligibility criterion for projects/program and applicants, and the application forms and associated instructions you need to apply for a M-S grant.

The USDA NIFA home page for M-S is: <https://www.nifa.usda.gov/grants/programs/mcintire-stennis-capacity-grant>

## 2023 Updates

1. FY23 reporting requirements have been transitioned from REEport to the NIFA Reporting System (NRS) for Capacity programs. Information regarding NRS and reporting due dates is available at <https://www.nifa.usda.gov/data/nifa-reporting-system>.
2. The [Digital Accountability and Transparency Act of 2014, P.L. 113-101](#) (DATA Act) was signed into law May 9, 2014. The Act requires federal award information be made available for public view on <USAspending.gov>. To fulfill these requirements, there are two additional forms included within the RFA package. The Project/Performance Site Location(s) and Project Abstract forms, OMB Number 4040-0010. The Project/Performance Site Location(s) form will provide more accurate identification of where the federal assistance funding is being utilized. The Project Abstract form will provide more detailed information regarding what the funding is being used for. Any additional information regarding this Act can be found at [Data Act Resources for Web](#).

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## **PART I—FUNDING OPPORTUNITY DESCRIPTION**

### **A. Legislative Authority and Background**

The McIntire-Stennis Cooperative Forestry Research Act, Pub. L. 87-778 (76 Stat.806) codified at [16 U.S.C. 582a-1](#), was signed into law on October 10, 1962. This law provides the basis for federal funding in forestry research and graduate education programs at State-certified schools of forestry in the United States. Forestry Research is defined as: reforestation and management of land for the production of crops of timber and other related products of the forest; management of forest and related watershed land to improve conditions of water flow and to protect resources against floods and erosion; management of forest and related rangeland for production of forage for domestic livestock and game and improvement of food and habitat for wildlife; management of forest lands for outdoor recreation; protection of forest land and resources against fire, insects, disease, or other destructive agents; utilization of wood and other forest products; development of sound policies for the management of forest lands and marketing of forest products; and such other studies as may be necessary to obtain the fullest and most effective use of forest resources.

Section 7412 of the Food, Conservation, and Energy Act of 2008 amended section 2 of the McIntire-Stennis Cooperative Forestry Act ([16 U.S.C. 582a-1](#)) to include the 1890 Land-Grant Institutions and made this change effective October 1, 2008. USDA contacted the Governor of each state in which an eligible 1890 institution is located about the legislative changes and has received from the Governor's Designee the names of the M-S certified institutions and the proportionate amount of the state's M-S funding to be allocated to each.

Funding is provided to the states through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors:

1. forty percent of the remaining balance is allocated based on the area of non-federal commercial forest land;
2. forty percent is allocated based upon the volume of timber cut annually from stock; and
3. twenty percent is allocated based on the total expenditures for forestry research from non-federal sources.

Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governor's designee.

### **B. Purpose and Priorities**

The purpose of this funding is to increase forestry research in the production, utilization, and protection of forestland; to train future forestry scientists; and to involve other disciplines in forestry research. The eligible forestry research mandated areas as defined by the McIntire-Stennis legislation are:

1. reforestation and management of land for the production of crops of timber and other related products of the forest;
2. management of forest and related watershed lands to improve conditions of water flow and to protect resources against floods and erosion;
3. management of forest and related rangeland for production of forage for domestic

- livestock and game and improvement of food and habitat for wildlife;
- 4. management of forest lands for outdoor recreation;
- 5. protection of forest land and resources against fire, insects, diseases, or other destructive agents;
- 6. utilization of wood and other forest products; and
- 7. development of sound policies for the management of forest lands and the harvesting and marketing of forest products; and such other studies as may be necessary to obtain the fullest and most effective use of forest resources.

All research projects submitted to NIFA must fall in one or more of the seven forestry research mandated areas listed above.

McIntire-Stennis is aligned with the following:

USDA Strategic Plan FY 2022-2026 found at

(<https://www.usda.gov/sites/default/files/documents/usda-fy-2022-2026-strategic-plan.pdf>)

1. **Strategic Goal 1:** Combat Climate Change to Support America's Working Lands, Natural Resources and Communities
2. **Strategic Goal 2:** Ensure America's Agricultural System is Equitable, Resilient, and Prosperous
3. **Strategic Goal 3:** Foster an Equitable and Competitive Marketplace for All Agricultural Producers
4. **Strategic Goal 5:** Expand Opportunities for Economic Development and Improve Quality of Life in Rural and Tribal Communities

## **PART II—AWARD INFORMATION**

### **A. Available Funding**

Subject to any FY 2023 Continuing Resolution, NIFA will distribute funds to the institutions according to the amounts allocated in FY 2022. NIFA will adjust the allocations for FY 2023 once appropriations are finalized. The amount available for support of this program in FY 2022 was \$33,802,560.

The [Automated Standard Applications for Payment System \(ASAP\)](#), operated by the Department of Treasury’s Bureau of the Fiscal Service, will be the payment system for all NIFA grant awards.

### **B. Type of Application**

Applications for FY 2023 are limited to “new” applications.

### **C. Responsible and Ethical Conduct of Research**

In accordance with [2 CFR Part 422 § 2](#), 3 and 8, institutions that conduct USDA- funded extramural research must foster an atmosphere conducive to research integrity, bear primary responsibility for prevention and detection of research misconduct, and maintain and effectively communicate and train their staff regarding policies and procedures. In the event an application to NIFA results in an award, the Authorized Representative (AR) assures, through acceptance of the award the institution will comply with the above requirements. Award recipients shall, upon request, make available to NIFA the policies, procedures, and documentation to support the conduct of the training.

See [Responsible and Ethical Conduct of Research](#) for further information.



## **PART III—ELIGIBILITY INFORMATION**

### **A. Eligible Applicants**

The following list are the eligible State-Certified Schools of Forestry applicants for this program. Award recipients may subcontract to organizations not eligible to apply if the subcontracts are necessary for the conduct of the project. Failure to meet an eligibility criterion by the time of application deadline may result in the application being excluded from consideration, or even though an application may have been reviewed, will preclude NIFA from making an award.

1. Alabama A&M University
2. Alcorn State University
3. American Samoa
4. Auburn University
5. Board of Regents of the University of Nebraska
6. Cal Poly Corporation
7. Central State University
8. Clemson University
9. Colorado State University
10. Connecticut Agricultural Experiment Station
11. Cornell University
12. Delaware State University
13. Florida A&M University
14. Fort Valley State University
15. Humboldt State University
16. Iowa State University
17. Kansas State University
18. Kentucky State University
19. Leech Lake Tribal College
20. Lincoln University
21. Louisiana State University Ag Center
22. Louisiana Tech University
23. Michigan State University
24. Michigan Technological University
25. Mississippi State University
26. Nevada Agricultural Experiment Station
27. New Mexico State University
28. North Carolina State University
29. North Dakota State University
30. Northern Arizona University
31. Ohio State University
32. Oklahoma State University
33. Oregon State University
34. Pennsylvania State University
35. Purdue University
36. Regents of the University of California
37. Regents of the University of Idaho
38. Regents of the University of Michigan

39. Regents of the University of Minnesota
40. Research Foundation for the State University of New York
41. Rutgers, The State of New Jersey
42. Salish Kootenai College Inc.
43. South Dakota State University
44. Southern Illinois University
45. Southern University
46. Stephen F Austin State University
47. Tennessee State University
48. Texas A&M Agrilife Research
49. Tuskegee University
50. University of Alaska Fairbanks
51. University of Arizona
52. University of Arkansas Ag Experiment State
53. University of Connecticut
54. University of Delaware
55. University of Florida
56. University of Georgia
57. University of Guam
58. University of Hawaii
59. University of Illinois
60. University of Kentucky
61. University of Maine
62. University of Maryland College of Ag
63. University of Maryland Eastern Shore
64. University of Massachusetts
65. University of Missouri
66. University of Montana
67. University of Puerto Rico
68. University of Rhode Island
69. University of Tennessee
70. University of Virgin Islands
71. University of Vermont
72. University of Washington
73. University of Wisconsin
74. University of Wyoming
75. University of New Hampshire
76. Utah State University
77. Virginia Polytechnic Institute
78. Virginia State University
79. Washington State University
80. West Virginia State University
81. West Virginia University

#### **B. Cost Sharing or Matching**

M-S Capacity grant recipients are to provide matching, either cash or in-kind, on a dollar-for-dollar basis on all federal funds allotted. [16 U.S.C. 582a-3](#) states “The amount paid by the Federal Government to any State-certified institutions eligible for assistance under this subchapter shall

not exceed during any fiscal year the amount available to and budgeted for expenditure by such college or university during the same fiscal year for forestry research from non-Federal sources.” The matching funds requirement is not applicable to the Virgin Islands and Guam. In accordance with [48 U.S.C. 1469a\(d\)](#), eligible institutions located in other insular areas (i.e., American Samoa, Micronesia and Northern Marianas) are not required to match if the allocation is below \$200,000.

[7 CFR 3419.6](#) states, “The required matching funds for the capacity programs must be used by an eligible institution for the same purpose as Federal award dollars: Agricultural research and extension activities that have been approved in the plan of work required under sections 1445(c) and 1444(d) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, section 7 of the Hatch Act of 1887, and section 4 of the Smith-Lever Act. For all programs, tuition dollars and student fees may not be used as matching funds.”

The matching documentation must include the non-federal sources (specify whether the source is 3rd party, cash, state, or non-state, county, or non-tax) and amount of all matching support. It must also include the program name the fiscal year and be signed by the authorized representative. It must be in PDF format (see Part IV of the [NIFA Grants Application Guide](#) for attachment requirements) and included as a separate attachment to the Attachment Form.

## PART IV—APPLICATION AND SUBMISSION INFORMATION

### A. Electronic Application Package

Submit only electronic applications via Grants.gov to NIFA in response to this RFA. We urge you to submit early to the Grants.gov system. For information about the Pre-Award phase of the grant lifecycle, see [Pre-Award Phase](#).

#### **New Users of Grants.gov**

Prior to preparing an application, we recommend the project director/principal investigator (PD/PI) first contact an authorized representative (AR, also referred to as Authorized Organizational Representative or AOR)<sup>1</sup> to determine if the organization is prepared to submit electronic applications through Grants.gov. *If not (e.g., the institution/organization is new to the electronic grant application process through Grants.gov), you must complete the one-time registration process prior to submitting an application. The registration process can take as long as two weeks to complete. It is, therefore, critical to begin the process as soon as possible. To register, the AR should select “Register” in the top right corner of the Grants.gov web page (or go to Grants.gov Register).*

#### **Steps to Obtain Application Package Materials**

To receive application materials:

1. You must download and install a version of [Adobe Reader](#) compatible with Grants.gov to access, complete, and submit applications. For basic system requirements and download instructions, Grants.gov has a test package that will help you determine whether your version of Adobe Reader is compatible.
2. To obtain the application package from Grants.gov, go to [Search for Opportunity Package](#) and enter the funding opportunity number:

#### **Funding Opportunity Number: USDA-NIFA-10202-MSCFRXXX-23-0001**

in the appropriate box and click “Download Package.” From the search results click on the funding opportunity number to open the funding opportunity package. Select the Related Documents Tab and Package Tap to reference all elements required and the NIFA Grants Application Guide associated with your funding opportunity. A Grant Application Package is tied to a particular funding opportunity. Applications must be submitted ONLY to the particular funding opportunity to which the Grant Application Package is associated. The application package also contains the [NIFA Grants Application Guide](#). This guide contains an introduction and general [Grants.gov](#) instructions, additional information about the Grants.gov registration process, information about how to use a Grant Application Package in Grants.gov, and instructions on how to complete the application form.

If you require assistance to access the application package (e.g., downloading or navigating Adobe forms) or submitting the application, refer to [resources available](#) on the Grants.gov website.

<sup>1</sup>The Authorized Representative (AR) must have the authority to represent and bind the organization for any agreement (i.e., the individual who is authorized to commit the awardee’s time and other resources to the project, to commit the awardee to comply with the terms and conditions of the award instrument including those set out herein, and to otherwise act for or on behalf of the awardee institution or organization).

Grants.gov assistance is also available at:

Grants.gov customer support

(800)518-4726 toll-free or (606)545-5035

Business Hours: 24 hours a day, 7 days a week. Closed on [federal holidays](#).

Email: [support@grants.gov](mailto:support@grants.gov)

[Grants.gov iPortal](#): Top 10 requested help topics (FAQs), searchable knowledge base, self-service ticketing and ticket status, and live web chat (available 7 a.m. - 9 p.m. Eastern Time).

Have the following information available when contacting [Grants.gov](#):

1. Funding opportunity number (FON)
2. Name of agency to which you are applying
3. Specific area of concern

## **B. Content and Form of Application Submission**

Applicants must prepare electronic applications following Parts III and IV of the [NIFA Grants Application Guide](#). This guide is part of the corresponding application package (see Section A of this part). The following additional information is needed to prepare an application for this RFA. If there is discrepancy between the two documents, the information contained in this RFA is overriding.

Note the attachment requirements (e.g., PDF) in Part IV of the [NIFA Grants Application Guide](#). Any proposals that are non-compliant with the requirements (e.g; content format, PDF file format, file name restrictions, or password protected files) will be at risk of being excluded from NIFA review. Partial applications will be excluded from NIFA review. We will accept subsequent submissions of an application until the established deadline in the RFA (see Part IV of the [NIFA Grants Application Guide](#) for further information).

**For any questions related to the preparation of an application**, review the [NIFA Grants Application Guide](#) and the applicable RFA. If you need further assistance. If you need further assistance, contact:

1. Email: [capacitygrantquestions@usda.gov](mailto:capacitygrantquestions@usda.gov)
2. Business hours: Monday - Friday, 7 a.m. - 5 p.m. ET, excluding [federal holidays](#).

Each grant application must contain the following:

1. Application for Federal Assistance, Form SF-424 R&R Cover Sheet
2. Project/Performance Site Location(s) Form
3. Project Abstract Form (including attachment in PDF format)
4. Key Contacts Forms
5. NIFA Supplemental Information Form
6. Attachment Form (including attachments in PDF format)

The [NIFA Grants Application Guide](#) includes instructions for additional forms not needed for an application in response to this RFA. Complete and submit only the forms associated with the funding opportunity package and as instructed below.

## **SF 424 R&R Cover Sheet**

Information related to the questions on this form is available in Part V of the [NIFA Grants Application Guide](#). The following are additional instructions. Please note new instructions are included below for Field 5. The Organizational Information NIFA has included in Appendix A is based on FY2022 applications received for this program. If your organization intends to submit an application using information other than what appears in Appendix A, please contact us at [capacitygrantquestions@usda.gov](mailto:capacitygrantquestions@usda.gov) prior to submitting your application

- a. **Field 1.** Type of Submission – Check “Application.”
- b. **Field 5.** Applicant Information
  - i. Enter Legal Name of the Institution as registered in the System for Awards Management (SAM) which appears in the first column of Appendix A to this RFA
  - ii. Enter the Institutions Unique Entity Identifier (UEI) as it appears in SAM
  - iii. Enter Department Name of Eligible Recipient, if applicable
- c. **Field 7.** Type of Applicant – Enter the Institution type as registered in SAM.gov
- d. **Field 8.** Type of Application – Enter “new”
- e. **Field 11.** Descriptive Title of Applicant’s Project – Enter a short descriptive title (no more than 200 characters including spaces) of the project(s) being paid for with this grant. Do not use acronyms or any special characters
- f. **Field 13.** Congressional District of Applicant – Enter the Congressional District for the Institution’s location provided in Section 5. Applicant Information
- g. **Field 15.** Estimated Project Funding – For item a and b, enter your institution’s FY 2022 allocation as listed in Appendix A to this announcement
- h. **Field 17.** Complete Certification - See Part V of the [NIFA Grants Application Guide](#) for the required certifications and assurances (e.g., Prohibition Against Entities Requiring Certain Internal Confidentiality Agreements), then check box
- i. **Field 19.** Authorized Representative – Signature of Authorized Representative in this box shall match information completed within this section. If signature is a designee or other party, a letter on university letterhead designating this signature authority shall be included with the submission as part of the attachments within the Attachment Form

### **1. Project/Performance Site Location(s) Form**

Information related to the requirements on this form is available in Part V of the [NIFA Grants Application Guide](#). The following are additional instructions.

Note: The Primary Place of Performance Site Location is the principal location where the majority of work is being performed, such as a manufacturing plant where items are produced or a hospital where research is being conducted. In many instances, a project’s Primary Place of Performance Site Location will not be the same as the recipient’s legal business address.

- a. **Complete only** the “Project/Performance Site Primary Location” section of the form
- b. **Organization Name** - Indicate the organization name of the primary site where the work will be performed
- c. **Street1** - Enter first line of the street address for the primary performance site location
- d. **Street2** - Enter second line of the street address for the primary performance site

location, if needed

- e. **City** - Enter the City for the address of the primary performance site location
- f. **County** - Enter the County of the performance site location
- g. **State** – From the drop-down menu, select the state code (e.g., NY for New York, CA for California) where the primary performance site location is located. For the following territories, use the following state codes. These codes are at the end of the drop-down menu except D.C.
  - i. Washington DC = DC
  - ii. American Samoa = AS
  - iii. Guam = GU
  - iv. Marshall Islands=MH
  - v. Micronesia= FM
  - vi. Northern Mariana Islands = MP
  - vii. Puerto Rico=PR
  - viii. US Virgin Islands=VI
- h. **Country** – This field should default to USA. Please select the proper country if applicable.
- i. **Zip/Postal Code** - Enter the nine-digit Postal Code (e.g., ZIP code) of the primary performance site location.
- j. **Project/Performance Site Congressional District** - Enter the two-digit Congressional District of the primary performance site location (e.g., 01, 02, 03...). For the following unique areas, the Congressional Districts are:
  - i. Alaska, Delaware, Montana, North Dakota, South Dakota, Vermont, Wyoming - 00 is the Congressional District code
  - ii. Washington DC, American Samoa, Guam, Northern Mariana Islands, Puerto Rico, US Virgin Islands - 98 is the Congressional District code
  - iii. Micronesia, Marshall Islands, Palau, US Minor Outlying Islands - 99 is the Congressional District code

### 3. **Project Abstract Form**

Information in this form is important to DATA Act requirements for reporting to the public via [USA Spending](#). The abstract submitted should not be more than 18,000 characters (including spaces, dashes, etc.) and should be “a plain language description of the Federal award purpose; activities to be performed; deliverables and expected outcomes; intended beneficiary(ies); and subrecipient activities if known/specified at the time of award.” This information may be a copy of a section of the Institutions FY 2023 plan of work, as long as these areas are included. Remember, whatever information is provided in this abstract will be displayed to the public via the [USA Spending](#) website. Therefore, do not include any proprietary or confidential information

### 4. **Key Contacts Form**

Information related to the requirements of this form is available in Part V of the [NIFA Grants Application Guide](#). These documents must be completed and submitted in PDF format. The Project Role field of the Key Contact form should contain the exact roles indicated below for each contact required. A person’s job title should be entered in the Title field of the Key Contact form and not in the Project Role field. Missing forms may result in delayed processing of the application.

- a. **Contact 1 Project Role:** Enter the contact information for the Administrative -Technical Representative
- b. **Contact 2 Project Role:** Enter the contact information for the Administrative -Technical Representative designee, if applicable.
- c. **Contact 3 Project Role:** Enter the contact information for the Business Office Manager/Administrator for this program.
- d. **Contact 4 Project Role:** Enter the contact information for the person responsible for drawdowns.

5. **Supplemental Information Form**

Information related to the questions on this form is available in Part V of the [NIFA Grants Application Guide](#). The following are additional instructions.

- a. **Field 1.** Funding Opportunity Name – McIntire Stennis Cooperative Forestry Research Program
- b. **Field 2.** Program to which you are applying
  - i. Program Code Name – McIntire Stennis Cooperative Forestry Research
  - ii. Program Code – MSCFR
- c. **Field 3.** Type of Applicant - Enter the Institution type as registered in SAM.gov
- d. **Field 6.** ASAP Recipient Information – Check “Yes” and enter in the Institution ASAP Recipient ID corresponding with the Institution receiving the award
- e. **Field 8.** Conflict of Interest List -Do not attach a conflict-of-interest list, leave this section blank list.

6. **Attachment Form**

Information related to the requirements on this form is available in Part V of the [NIFA Grants Application Guide](#). The following are additional instructions.

- a. **Felony Convictions or Tax Delinquent Status** - See Part V of the [NIFA Grants Application Guide](#) for instructions regarding mandatory Felony Convictions or Tax Delinquent Status. For Capacity programs, this mandatory information must be either included as an attached PDF and identified on the Attachment Form or appropriately answered within the “Reps and Certs” section of your SAM.gov registration.
- b. **Matching Requirement**– - If matching funds are required as specified under [Part III, B](#) of this RFA. Cost-Sharing or Matching, the matching is an eligibility criterion, and you must include the non-federal source documentation with the application. We will consider this documentation when ascertaining final matching requirements or in determining if required matching can be waived. NIFA retains the right to make final determinations regarding matching requirements. The matching source document must be signed by someone with authorized representative authority for the institution.

The matching documentation shall include the non-federal sources (specify the type of match as third party or cash; the source of match, state or non-state, county or non-tax; and the amount of match for each source) and the amount of all match support. The



matching documentation must include the program name, the fiscal year and be signed by the authorized representative. The match information should be in PDF format (see Part IV of the [NIFA Grants Application Guide](#) for attachment requirements) and included as a separate attachment identified on the Attachment Form.

To establish the value of applicant contributions in accordance with applicable cost principles, refer to [2 CFR Part 200](#), “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards” for further guidance and other requirements relating to matching and allowable costs.

### **C. Submission Dates and Times**

Instructions for submitting an application are included in Part IV of the [NIFA Grants Application Guide](#).

Applications must be received by Grants.gov by **5 p.m. Eastern Time on October 31, 2022**. Applications received after this deadline will normally not be considered for funding.

In case of trouble submitting an application to Grants.gov, you should FIRST contact the [Grants.gov Help Desk](#) to resolve any problems. Keep a record of any such correspondence. See [Part IV](#), A of this RFA for Grants.gov contact information.

Once NIFA accepts your application, you may check the status of your application in the [ezFedGrants](#) portal or by looking in Grants.gov.

If you are not able to validate that NIFA received your application by October 12, 2022, contact the Agency Administrative Contact identified in [Part VII](#) of this RFA. Failure to do so may result in the application not being processed and funding being held. You should cite your [Grants.gov](#) tracking number on all inquiries until an award number is assigned.

### **D. Funding Restrictions**

#### **1. Approved M-S Projects**

M-S federal funding must be used on approved M-S projects.

#### **2. Indirect Costs and Tuition Remission**

In accordance with section 1473 of NARETPA ([7 U.S.C. 3319](#)), indirect costs and tuition remission are unallowable as M-S capacity grant expenditures. Further, costs that are a part of an institution's indirect cost pool (e.g., administrative or clerical salaries) may not be reclassified as direct costs for the purpose of making them allowable.

#### **3. Carryover of Funds**

McIntire-Stennis funds are expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to 1 year after the end of the fiscal year for which they were appropriated. No prior approval is required to carry over funds for one additional year if the carryover is less than 50 percent. Carryover greater

than 50 percent must be approved by NIFA Program Staff, no other additional carryover requests may be considered or approved. Any unused funds at the end of the period of performance must be returned to the U.S. Treasury.

4. **Matching**

See [Part III](#), B of this RFA for additional information.

**E. Other Submission Requirements**

You should follow the submission requirements noted in Part V of the [NIFA Grants Application Guide](#).

For information about the status of a submitted application, see Part VI of the [NIFA Grants Application Guide](#).

**Unique Entity Identifier (UEI) and System for Award Management (SAM)** – each applicant (unless excepted under [2 CFR § 25.110\(b\) or \(c\)](#), or has an exception approved by the federal awarding agency under [2 CFR § 25.110\(d\)](#)) is required to:

1. be registered in SAM before submitting its application;
2. provide a valid UEI number in its application; and
3. continue to maintain an active SAM registration with current information at all times during which it has an active federal award or an application or plan under consideration by a federal awarding agency.

If you have not complied with all applicable UEI and SAM requirements, you may be considered unqualified to receive an award.

Your SAM registration information determines your organization ID in the ezFedGrants system, and the information must match your ASAP recipient UEI and EIN.

## **PART V—APPLICATION REVIEW REQUIREMENTS**

### **A. General**

NIFA will review submitted documents to determine if all program, financial, and administrative requirements are met and are current. Missing or incomplete information within an application may require additional submittals and deletion of application(s) already submitted.

### **B. Organizational Management Information**

Specific applicant organizational management information shall be submitted on a one-time basis, with updates submitted as needed. If this information has not been submitted under this or another NIFA program, it is the responsibility of the organization to do so for determination of eligibility prior to the award of a grant identified under this RFA.

We will provide you the forms to fulfill these requirements as part of the Pre-Award process. Although an applicant may be eligible, there are factors that may exclude an applicant from receiving federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination an applicant is not responsible based on submitted organizational management information).

## **PART VI—AWARD ADMINISTRATION**

### **A. General**

Within the limit of funds available for such purpose, the NIFA awarding official shall make grants to eligible applicants.

### **B. Award Notice**

The notice of federal award is the authorizing document. The award document contains award provisions and other pertinent information. See [Notice of Award](#) for further details.

### **C. Administrative and National Policy Requirements**

Several federal statutes and regulations apply to grant applications considered for review and to project grants awarded under this program. These may include, but are not limited to, those listed at [USDA/NIFA Federal Regulations](#).

[NIFA Federal Assistance Policy Guide](#)—a compendium of basic NIFA policies and procedures applying to all NIFA awards, unless there are statutory, regulatory, or award-specific requirements to the contrary, is available at the [NIFA Federal Assistance Policy Guide](#).

### **D. Responsible and Ethical Conduct of Research.**

Refer to Part V of the [NIFA Grants Application Guide](#) for more information.

### **E. Expected Program Outputs and Reporting Requirements**

Organizations receiving Animal Health Disease Research funding from NIFA, are required to submit the following reporting:

1. Annual MSCFR Program of Research (PoR);
2. Program/Project Initiation in the NIFA Reporting System (NRS);
3. Program/Project progress reports;
4. Project Financial Report; and
5. Federal Financial Reports (SF-425)

Program/Project Initiations and Progress Reports are to be completed by any institution who has been granted authority to use capacity dollars. NIFA is building an integrated reporting portal for research and extension grants. Information regarding requirements for submittals of reporting through the NRS are available at the NRS web page <https://nifa.usda.gov/tool/pow-nifa-reporting-system>.

A Project Financial Report must be submitted to NIFA annually for all eligible projects from the preceding fiscal year. A Project Financial Report is also required for expenditures on all state projects to be included in the non-federal funds and matching funds computation. Reports shall be made on the federal fiscal year basis.

Federal Financial reporting via the SF-425 is due within the ezFedGrants portal, at minimum on an annual basis. Please see your Notice of Award for information on additional SF-425's that may be required throughout the life of the award. Information on how to access the ezFedGrants portal can be found on the [ezFedGrants \(eFG\) Grants and Agreements System Overview](#) page.

See [McIntire-Stennis](#) for additional McIntire Stennis reporting requirement details.

## **PART VII—AGENCY CONTACTS**

For questions regarding M-S projects/program, please contact:

Dr. Daniel Cassidy, National Program Leader  
Division of Environmental Systems  
Institute of Bioenergy, Climate, and Environment  
National Institute of Food and Agriculture  
U.S. Department of Agriculture  
Telephone: 816-926-1490  
E-mail: [patrick.cassidy@usda.gov](mailto:patrick.cassidy@usda.gov)

Dr. Diomides “Diomy” Zamora, National Program Leader  
Division of Environmental Systems  
Institute of Bioenergy, Climate, and Environment  
National Institute of Food and Agriculture  
U.S. Department of Agriculture  
Telephone: 202-590-6049  
E-mail: [diomides.zamora@usda.gov](mailto:diomides.zamora@usda.gov)

For administrative questions relating to the completion of the grants.gov forms please contact:

Bridget Zeller, Senior Grants Management Specialist  
OGFM/National Institute of Food and Agriculture  
U.S. Department of Agriculture  
Telephone: 816-401-8219  
Email: [capacitygrantquestions@usda.gov](mailto:capacitygrantquestions@usda.gov)

## **PART VIII—OTHER INFORMATION**

The USDA NIFA home page for the McIntire-Stennis (M-S) is:  
<https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>

**APPENDIX A**

UNITED STATES DEPARTMENT OF AGRICULTURE  
 National Institute of Food and Agriculture  
 FY 2022 Distribution of Funds to Eligible State Institutions or  
 Institutional Units Authorized Under the Cooperative Forestry Research  
 (McIntire-Stennis) Act, October 10, 1962  
 Appendix A – Final

<b>Recipient Name</b>	<b>DUNS Number</b>	<b>State</b>	<b>Allocation</b>	<b>Required Match</b>
ALABAMA A & M UNIVERSITY	079121448	AL	\$ 357,316	\$ 357,316
ALCORN STATE UNIVERSITY EDUCATIONAL BUILDING CORPORATION, THE	075084897	MS	233,807	233,807
AMERICAN SAMOA COMMUNITY COLLEGE	854990793	AS	46,216	-
AUBURN UNIVERSITY	066470972	AL	476,421	476,421
BOARD OF REGENTS OF THE UNIVERSITY OF NEBRASKA UNIVERSITY OF NEBRASKA	555456995	NE	310,410	310,410
CAL POLY CORPORATION	029326246	CA	132,424	132,424
CENTRAL STATE UNIVERSITY	008873747	OH	112,288	112,288
CLEMSON UNIVERSITY	042629816	SC	970,892	970,892
COLORADO STATE UNIVERSITY AGRICULTURAL EXPERIMENT STATION	149546160	CO	332,426	332,426
CONNECTICUT AGRICULTURAL EXPERIMENT STATION, THE	877902333	CT	331,880	331,880
CORNELL UNIVERSITY OFFICE OF SPONSORED PROGRAMS	872612445	NY	193,187	193,187
DELAWARE STATE UNIVERSITY	114337629	DE	71,325	71,325
FLORIDA A & M UNIVERSITY	623751831	FL	103,694	103,694
FORT VALLEY STATE UNIVERSITY	073459083	GA	121,307	121,307
HUMBOLDT STATE UNIVERSITY SPONSORED PROG HSU FOUNDATION	014302074	CA	132,424	132,424
IOWA STATE UNIVERSITY OF SCIENCE AND TECHNOLOGY IOWA STATE UNIVERSITY	005309844	IA	574,603	574,603
KANSAS STATE UNIVERSITY PRE-AWARDS SERVICES	929773554	KS	376,457	376,457
KENTUCKY STATE UNIVERSITY	071317788	KY	112,610	112,610
LEECH LAKE TRIBAL COLLEGE, INC.	124673919	MN	103,297	103,297
LINCOLN UNIVERSITY	071970164	MO	72,871	72,871
LOUISIANA STATE UNIVERSITY LSU AGRICULTURAL CENTER	783201833	LA	636,976	636,976
LOUISIANA TECH UNIVERSITY	069746725	LA	272,990	272,990
MICHIGAN STATE UNIVERSITY	193247145	MI	345,716	345,716
MICHIGAN TECHNOLOGICAL UNIVERSITY INC	065453268	MI	345,612	345,612

<b>Recipient Name</b>	<b>DUNS Number</b>	<b>State</b>	<b>Allocation</b>	<b>Required Match</b>
MISSISSIPPI STATE UNIVERSITY	075461814	MS	935,230	935,230
NEVADA SYSTEM OF HIGHER EDUCATION NEVADA AGRICULTURAL EXPERIMENT STATION	962894411	NV	134,280	134,280
NEW MEXICO STATE UNIVERSITY OFFICE OF SPONSORED PROGRAMS	173851965	NM	310,410	310,410
NORTH CAROLINA STATE UNIVERSITY	042092122	NC	1,102,989	1,102,989
NORTH DAKOTA STATE UNIVERSITY	803882299	ND	200,329	200,329
NORTHERN ARIZONA UNIVERSITY	806345542	AZ	232,261	232,261
OHIO STATE UNIVERSITY, THE OHIO AGRICULTURE RESEARCH & DEVELOPMENT CENTER	171605848	OH	572,395	572,395
OKLAHOMA STATE UNIVERSITY	049987720	OK	662,667	662,667
OREGON STATE UNIVERSITY	053599908	OR	1,147,021	1,147,021
PENNSYLVANIA STATE UNIVERSITY, THE PENN STATE	003403953	PA	794,764	794,764
PURDUE UNIVERSITY	072051394	IN	596,618	596,618
REGENTS OF THE UNIVERSITY OF CALIFORNIA, THE DIVISION OF AGRICULTURE AND NATURAL RESOURCES	080668243	CA	617,980	617,980
REGENTS OF THE UNIVERSITY OF IDAHO UNIVERSITY OF IDAHO	075746271	ID	706,699	706,699
REGENTS OF THE UNIVERSITY OF MICHIGAN UNIVERSITY OF MICHIGAN	073133571	MI	345,612	345,612
REGENTS OF THE UNIVERSITY OF MINNESOTA OFFICE SPONSORED PROJECTS ADMINISTRATION	555917996	MN	757,514	757,514
RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK, THE SUNY ESF	152606125	NY	579,561	579,561
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY	030501568	NJ	442,506	442,506
SALISH KOOTENAI COLLEGE, INC.	113488399	MT	74,315	74,315
SOUTH DAKOTA STATE UNIVERSITY	929929743	SD	288,393	288,393
SOUTHERN ILLINOIS UNIVERSITY OFC. OF SPONSORED PROJECTS ADMINISTRATION	939007555	IL	276,294	276,294
SOUTHERN UNIVERSITY AGRICULTURAL & MECHANICAL COLLEGE SOUTHERN UNIVERSITY AGRICULTURAL RESEARCH AND EXTENSION CENTER	786908579	LA	193,023	193,023
STEPHEN F AUSTIN STATE UNIVERSITY	073894727	TX	496,454	496,454
TENNESSEE STATE UNIVERSITY	108814179	TN	125,819	125,819
TEXAS A&M AGRILIFE RESEARCH	847205713	TX	496,454	496,454
TUSKEGEE UNIVERSITY	128214178	AL	357,316	357,316
UNIVERSITY OF ALASKA FAIRBANKS GRANTS & CONTRACTS	615245164	AK	\$ 618,634	\$ 618,634



<b>Recipient Name</b>	<b>DUNS Number</b>	<b>State</b>	<b>Allocation</b>	<b>Required Match</b>
UNIVERSITY OF ARIZONA	961605172	AZ	232,261	232,261
UNIVERSITY OF ARKANSAS SYSTEM ARKANSAS AGRICULTURAL EXPERIMENT STATION	140031639	AR	970,892	970,892
UNIVERSITY OF CONNECTICUT OFFICE SPONSORED PROGRAMS	614209054	CT	110,627	110,627
UNIVERSITY OF DELAWARE	059007500	DE	106,987	106,987
UNIVERSITY OF FLORIDA DIVISION OF SPONSORED RESEARCH	969663814	FL	933,247	933,247
UNIVERSITY OF GEORGIA SPONSORED PROJECTS ADMINISTRATION	619003127	GA	1,091,763	1,091,763
UNIVERSITY OF GUAM	779908151	GU	68,232	-
UNIVERSITY OF HAWAII SYSTEMS COLLEGE OF TROPICAL AGRICULTURE & HUMAN RESOURCES	930124792	HI	288,393	288,393
UNIVERSITY OF ILLINOIS BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS, THE	041544081	IL	276,294	276,294
UNIVERSITY OF KENTUCKY	007400724	KY	638,121	638,121
UNIVERSITY OF MAINE SYSTEM RESEARCH & SPONSORED PROGRAM	186875787	ME	970,892	970,892
UNIVERSITY OF MARYLAND COLLEGE OF AGRICULTURE AND NATURALRESOURCES	837696475	MD	309,754	309,754
UNIVERSITY OF MARYLAND EASTERN SHORE UMES	082611302	MD	132,752	132,752
UNIVERSITY OF MASSACHUSETTS OFFICE OF GRANT & CONTRACT ADMINISTRATION	153926712	MA	420,490	420,490
UNIVERSITY OF MISSOURI SYSTEM CURATORS OF THE UNIVERSITY OF MISSOURI	153890272	MO	655,844	655,844
UNIVERSITY OF MONTANA RESEARCH AND SPONSORED PROGRAMS	010379790	MT	566,335	566,335
UNIVERSITY OF PUERTO RICO ESTACION EXPERIMENTAL AGRICOLA	785565735	PR	112,265	112,265
UNIVERSITY OF RHODE ISLAND CONTROLLERS OFFICE	144017188	RI	178,312	178,312
UNIVERSITY OF TENNESSEE TENNESSEE AGRICULTURE EXPERIEN	133891015	TN	712,976	712,976
UNIVERSITY OF THE VIRGIN ISLANDS	090003765	VI	46,216	-
UNIVERSITY OF VERMONT & STATE AGRICULTURAL COLLEGE UNIVERSITY OF VERMONT	066811191	VT	486,538	486,538
UNIVERSITY OF WASHINGTON GRANT & CONTRACTS DIVISION	605799469	WA	582,426	582,426
UNIVERSITY OF WISCONSIN SYSTEM	161202122	WI	838,795	838,795
UNIVERSITY OF WYOMING SPONSORED PROGRAMS	069690956	WY	244,361	244,361
UNIVERSITY SYSTEM OF NEW HAMPSHIRE AGRICULTURE EXPERIMENT STATION	781484048	NH	508,554	508,554

<b>Recipient Name</b>	<b>DUNS Number</b>	<b>State</b>	<b>Allocation</b>	<b>Required Match</b>
UTAH STATE UNIVERSITY USU	072983455	UT	222,345	222,345
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSITY	003137015	VA	972,875	972,875
VIRGINIA STATE UNIVERSITY	074744624	VA	108,097	108,097
WASHINGTON STATE UNIVERSITY WSU EXTENSION AND CAHNRS	959235664	WA	476,530	476,530
WEST VIRGINIA STATE UNIVERSITY WEST VIRGINIA STATE UNIVERSITY RESEARCH AND DEVELOPMENT	874797876	WV	141,340	141,340
WEST VIRGINIA UNIVERSITY	929332658	WV	565,359	565,359
<b>Payment to States</b>			<b>\$ 33,802,560</b>	<b>\$ 33,641,896</b>
<b>Federal Administration</b>			<b>1,080,000</b>	
<b>Small Business Set-Aside</b>			<b>1,117,440</b>	
<b>Total Appropriation</b>			<b>\$ 36,000,000</b>	