

# REQUEST FOR APPLICATIONS Agricultural Genome to Phenome Initiative

FUNDING YEAR: Fiscal Year (FY) 2024

APPLICATION DEADLINE: May 30, 2024

ANTICIPATED PROGRAM FUNDING: \$2,308,369

AVERAGE AWARD RANGE: \$50,000 -\$1,200,000

FUNDING OPPORTUNITY NUMBER: USDA-NIFA-OP-010573

ASSISTANCE LISTING NUMBER: 10.332

LETTER OF INTENT DEADLINE: Not Required

### INITIAL ANNOUNCEMENT

National Institute of Food and Agriculture United States Department of Agriculture

**Assistance Listing Number (ALN):** The Agricultural Genome to Phenome Initiative (AG2PI) is listed in the Assistance Listings under number 10.332.

**Table 1**: Key Dates and Deadlines

| Task Description     | Deadline   |  |
|----------------------|--|--|
| Application:         | 5:00 P.M. Eastern Time, May 30, 2024   |  |
| Letter of Intent:    | : Not Required   |  |
| Applicants Comments: | Within six months from the issuance of this notice (NIFA may not consider comments received after the sixth month) |  |

Advancing Diversity, Equity, Inclusion, and Accessibility (DEIA). The National Institute of Food and Agriculture (NIFA) recognizes research, education, and extension efforts will have the greatest impacts when programs are grounded in DEIA. NIFA is committed to enhancing diversity, equity, inclusion, and accessibility of programs and encourages individuals, institutions, and organizations from underserved communities to apply to funding opportunities as lead, co-lead, or subaward recipient(s), and to engage as leaders in the peer panel review process to support the development of strong networks and collaborations. NIFA encourages applications that engage diverse communities and have broad impacts through research, education, extension, and integrated activities to address current and future challenges.

**Stakeholder Input**. NIFA seeks comments on all Request for Applications (RFAs) so it can deliver programs efficiently, effectively, with integrity, and with a focus on customer service. NIFA considers comments to the extent possible when developing RFAs, and uses comments to help meet the requirements of Section 103(c)(2) of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613(c)(2)). Applicants may submit written comments to Policy@usda.gov. Please use the following subject line: Response to the Agricultural Genome to Phenome Initiative RFA.

**Centers of Excellence**. Applicants are encouraged to visit NIFA's <u>Centers of Excellence (COE)</u> for information on COE designation process, including COE criteria, and a list of programs offering COE opportunities. A recording of COE outreach and COE implementation webinars are also available.

### **EXECUTIVE SUMMARY**

This RFA is being released prior to the passage of a full appropriations act for fiscal year (FY) 2024. Enactment of a continuing resolution, appropriations act, or other authorizing legislation may affect the availability or level of funding for this program.

This notice identifies the objectives for the Agricultural Genomes to Phenomes Initiative (AG2PI) projects, deadlines, funding information, eligibility criteria for projects and applicants, and application forms and associated instructions. NIFA requests applications for the AG2PI for FY 2024 that enable and support novel management and modeling tools for improved predictions and, therefore, selection of superior individuals or cultivars in genetic populations.

The intent of the AG2PI program is to support integration of diverse disciplines that can effectively harness the power of phenomics, genomics, engineering, genetic diversity, and data science for improving production, sustainability, and climate resiliency of crop and livestock species.

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### PART I. FUNDING OPPORTUNITY DESCRIPTION

### A. Legislative Authority

The Agriculture Genome to Phenome Initiative is authorized under <u>7 U.S.C. 5924</u>.

### **B.** Purpose and Priorities

The National Institute of Food and Agriculture's Agricultural Genome to Phenome Initiative (AG2PI), Assistance Listing 10.332, is intended to:

- 1. Study agriculturally significant crops and animals in production environments to achieve sustainable and secure agricultural production.
- 2. Ensure that current gaps in existing knowledge of agricultural crop and animal genetics and phenomics are filled.
- 3. Identify and develop a functional understanding of relevant genes from animals and agronomically relevant genes from crops that are of importance to the agriculture sector of the United States.
- 4. Ensure future genetic improvement of crops and animals of importance to the agriculture sector of the United States.
- 5. Study the relevance of diverse germplasm as a source of unique genes that may be of importance in the future.
- 6. Enhance genetics to reduce the economic impact of pathogens on crops and animals of importance to the agriculture sector of the United States.
- 7. Disseminate findings to relevant audiences.

# The priorities of this program are to:

- 1. Promote effective collaborations across academic disciplines by integrating diverse perspectives and expertise through team science and communication.
- 2. Develop models connecting traits such as yield, feed conversion efficiency, production efficiency and nutritional quality with environmental variability, genetics, and climate.
- 3. Employ common data architectures across crop and animal systems consistent with FAIR data principles (<a href="https://www.go-fair.org/fair-principles/">https://www.go-fair.org/fair-principles/</a>).
- 4. Engineer novel hardware, computing, and information systems to improve and democratize acquisition, interpretation, and analysis of large datasets of high periodicity imagery, spectra, phenotypes, genotypes, and accompanying metadata.
- 5. Study the potential relevance of diverse germplasm as a source of unique genes that may be of importance in the future genetic improvement of crops and animals of importance to the agriculture sector of the United States.
- 6. Improve the quality and availability of crop and animal genetic resources that may reduce the economic impacts of climate change on the agriculture sector of the United States.

All applications are encouraged to address at least two of the six goals listed below through a research-focused approach.

- 1. Develop new or augment existing benchmark datasets comprised of genetic, phenotypic, environmental, climatic, and physiological data on crops or livestock for the purpose of testing, training, and comparing predictive analytic tools by the data science community with potential wide application in agricultural fields.
- 2. Combine plant and animal genomic information with phenotypic and environmental data through an interdisciplinary framework, leading to a novel understanding of plant and animal processes that affect growth, productivity, and the ability to predict performance, which will result in the deployment of superior varieties and species to producers and improved plant and animal management recommendations for farmers and ranchers.
- 3. Address technological or institutional barriers that prevent effective collaboration with for-profit entities whose involvement is critical for development of robust predictive analytic tools for agricultural genomes to phenomes research.
- 4. Improve national agricultural data infrastructure to facilitate storage and programmatic access to very large datasets and to allow for improved data description, harmonization, and system interoperability.
- 5. Incorporate workforce development through support and training at the undergraduate, graduate, or postgraduate level with a sound mentorship plan that incorporates creative, meaningful contributions by project participants to research design, interpretation, and scientific inquiry. Workforce development efforts that include partnership with private for-profit entities are welcome.
- 6. Organize recurrent interdisciplinary agricultural genome to phenome, in-person or virtual working groups, conferences, programs, or colloquia that engage groups with diverse scientific expertise around high-priority, stakeholder-driven issues that would benefit from a coordinated research approach.

### **Other Program Information:**

- 1. Applications must include a budgeted plan for data management that includes making data publicly available and that adheres to FAIR data principles (<a href="https://www.go-fair.org/fair-principles/">https://www.go-fair.org/fair-principles/</a>).
- 2. Applications must provide a compelling case for why it is essential to bring together substantially different science and engineering disciplines to address a specific genome to phenome need.
- 3. Applications that demonstrate coordination and collaboration with international partners, especially public-private partnerships, and other genome to phenome projects worldwide will be viewed favorably. However, applications must be submitted by eligible U.S. institutions.
- 4. Consortia of eligible entities are encouraged to apply for this funding opportunity.

### The AG2PI is aligned with the following:

### USDA Strategic Plan FY 2022-2026 goals:

Strategic Goal 1: Combat Climate Change to Support America's Working Lands, Natural Resources and Communities.

Strategic Goal 2: Ensure America's Agricultural System is Equitable, Resilient, and Prosperous.

Strategic Goal 3: Foster an Equitable and Competitive Marketplace for All Agricultural Producers.

Strategic Goal 4: Make Safe, Nutritious Food Available to All Americans.

### USDA Science and Research Strategy, 2023–2026 priorities:

Priority 1: Accelerating Innovative Technologies & Practices

Priority 2: Driving Climate-Smart Solutions Priority 4: Cultivating Resilient Ecosystems Priority 5: Translating Research Into Action

*Global Engagement*. NIFA supports global engagement that advances U.S. agricultural goals. NIFA recognizes that collaboration with international partners may be necessary to attain the agency's goals for U.S. agriculture, promote global competence of our nation's future agricultural workforce, and promote safe and nutritious food security in a growing world. Therefore, although application to this RFA is limited to eligible U.S. institutions, applicants may collaborate with international partners, to include subcontracts to international partners or other institutions. Applications must clearly demonstrate benefits to the U.S.

For a program informational webinar, please visit the <u>NIFA calendar</u> for dates, event registration, and link. If you need a reasonable accommodation to participate in any of the informational webinars listed, please contact the event host listed no later than 10 days prior to the event.

Table 2: Program Key Information

| Table 2: 1 logiam Rey information |  |  |
|-----------------------------------|--|--|
| Title                             | Description                                  |  |
| Program Code:                     | AG2PI  |  |
| Program Code Name:                | Agricultural Genome to Phenome Initiative    |  |
| ALN:                              | 10.332                                       |  |
| Project Type:                     | Research Projects Only                       |  |
| Grant Type: Standard, Conference  |  |  |
| Application Deadline              | Thursday, May 30, 2024 (5:00pm ET)           |  |
| Grant Duration:                   | a. 36-60 months for <b>Research Projects</b> |  |
|                                   | b. Up to 12 months for <b>Conference</b>     |  |
|                                   | Grants                                       |  |
| Anticipated # of Awards:          | 2-3  |  |
| Maximum Award Amount:             | a. Including indirect costs: \$1,200,000 for |  |
|                                   | Research Grants                              |  |
|                                   | b. \$50,000 for Conference Grants            |  |

### PART II. AWARD INFORMATION

### A. Available Funding

This RFA is being released prior to the passage of a full appropriations act for FY 2024. Enactment of a continuing resolution, appropriations act, or other authorizing legislation may affect the availability or level of funding for this program. The amount available for the Agriculture Genome to Phenome Initiative (AG2PI) in FY 2024 is approximately \$2,308,369. For FY 2024, this program will accept applications for standard research projects up to \$1,200,000 and up to \$50,000 for Conference Grants (total for each, including indirect costs, not per year). Project periods for this program are limited to a maximum duration of five years for Research Projects and 1 year for Conference Grants. USDA is not committed to fund any application or to make a specific number of awards.

The <u>Automated Standard Application for Payments</u>, operated by the Department of Treasury, Bureau of Fiscal Service, is the designated payment system for awards resulting from this RFA.

### **B.** Application Restrictions

NIFA will evaluate applications using the criteria described in <u>Part V</u> of this RFA and are subject to the due dates herein. Applications for FY2024 are limited to the following application types:

- 1. *New application:* New applications will be evaluated using the criteria described in Part V of this RFA and are subject to the due dates herein (see <u>Appendix III</u> for definition).
- 2. Resubmitted application: Resubmitted applications must include the response to the previous review panel summary and are subject to the same criteria and due dates herein. The response to review is limited to 1-page and does not count against the Project Narrative page limit. Resubmitted applicants must enter the NIFA-assigned proposal number of the previously submitted application in the Federal Field (Field 4) on the application form. (see Appendix III for definition).

### C. Project and Grant Types

The type of projects or grants that are eligible for funding is limited to Standard Research applications and Conference Grant applications.

### **D.** Ethical Conduct of Funded Projects

In accordance with sections 2, 3, and 8 of 2 CFR Part 422, institutions that conduct USDA-funded extramural research must foster an atmosphere conducive to research integrity, bear primary responsibility for prevention and detection of research misconduct, and maintain and effectively communicate and train their staff regarding policies and procedures. In the event an application to NIFA results in an award, the Authorized Representative (AR) assures, through acceptance of the award that the institution will comply with the above requirements. Award recipients must, upon request, make available to NIFA the policies, procedures, and documentation to support the conduct of the training. See Responsible and Ethical Conduct of Research for further information.

### PART III. ELIGIBILITY INFORMATION

### A. Eligibility Requirements

Applicants for the AG2PI must meet all the requirements discussed in this RFA. Failure to meet the eligibility criteria by the application deadline may result in exclusion from consideration or, preclude NIFA from making an award. For those new to Federal financial assistance, NIFA's <a href="Grants Overview">Grants Overview</a> provides highly recommended information about grants and other resources to help understand the Federal awards process.

In accordance with <u>7 USC 3157(7)</u>, The Secretary may make grants under this subsection to:

- 1. State agricultural experiment stations;
- 2. Colleges and universities;
- 3. University research foundations;
- 4. Other research institutions and organizations;
- 5. Federal agencies;
- 6. National laboratories;
- 7. Private organizations, foundations, or corporations;
- 8. Individuals; or
- 9. Any group consisting of two or more of the entities described in (1) through (8).

A consortium of eligible entities is encouraged to apply under this RFA. Applicants for the AG2PI must meet all the requirements discussed in this RFA. Failure to meet the eligibility criteria by the application deadline may result in exclusion from consideration or, preclude NIFA from making an award. For those new to Federal financial assistance, NIFA's <u>Grants Overview</u> provides highly recommended information about grants and other resources to help understand the Federal awards process.

**Duplicate or Multiple Submissions** – submission of duplicate or predominantly overlapping applications is not allowed. NIFA will disqualify both applications if an applicant submits multiple applications that are duplicative or substantially overlapping to NIFA programs within the same RFA fiscal year.

### B. Cost Sharing or Matching

Match Required – Applicants MUST provide matching contributions on a dollar-for-dollar basis for all Federal funds awarded under the AG2PI. With respect to a grant or cooperative agreement under this section that provides a particular benefit to a specific agricultural commodity, the recipient of funds shall provide non-Federal matching funds (including funds from an agricultural commodity promotion, research, and information program) equal to not less than the amount provided under the grant or cooperative agreement. Non-Federal matching funds may include in-kind support, in keeping with the requirements detailed in Part IV, B of this RFA.

**Matching Waiver:** NIFA may waive the matching funds requirement for a grant if one of the following applies:

- 1. The results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or
- 2. The project:
  - a. Involves a minor commodity; and

- b. deals with scientifically important research; and
- c. the recipient is unable to satisfy the matching funds requirement.

### C. Centers of Excellence

Pursuant to Section 7214 of the Agricultural Act of 2014 (<u>7 U.S.C. 5926</u>), NIFA will recognize and prioritize COE applicants that carry out research, extension, and education activities that relate to the food and agricultural sciences. A COE is composed of one or more of the following entities that provide financial or in-kind support to the COE.

- 1. State agricultural experiment stations.
- 2. Colleges and universities.
- 3. University research foundations.
- 4. Other research institutions and organizations.
- 5. Federal agencies.
- 6. National laboratories.
- 7. Private organizations, foundations, or corporations.
- 8. Individuals; or
- 9. Any group consisting of two or more of the entities described in (1) through (8).

### PART IV. APPLICATION AND SUBMISSION

### A. Method of Application

Applicants must apply to this RFA electronically; no other method or response is accepted. The electronic application for this RFA and additional resources are available on <u>Grants.gov</u> and <u>Grants 101</u>. **Table 3** provides instructions on how to obtain an electronic application. **Part III** of the <u>NIFA Grants Application Guide</u> contains detailed information regarding the <u>Grants.gov</u> registration process. The <u>NIFA Grants Application Guide</u> is contained in the specific funding opportunity package or a sample of the guide can be found <u>here</u>. When applying for a NIFA award, it is important to reference the version of the guide that is included in the specific funding opportunity application package.

**Table 3.** Steps to Obtain Application Materials

| Steps  | Action   |
|--|--|
| Step One: Register   | New Users to Grants.gov must register early with Grants.gov prior to submitting an application (Register Here).            |
| Step Two:<br>Download Adobe  | Download and Install Adobe Reader (see Adobe Software Compatibility for basic system requirements).                        |
| Step Three: Find Application Using this funding opportunity number USDA-NIFA-OP-010 for application here: Opportunity Package. |  |
| Step Four: Assess<br>Readiness   | Contact an AR prior to starting an application to assess the organization's readiness to submit an electronic application. |

**Table 4**: Help and Resources

| Grants.gov Support                         | NIFA Support                                 |
|--|--|
| Grants.gov Online Support                  | Email: grantapplicationquestions@usda.gov    |
| Telephone support: 800-518-4726 Toll-Free  |  |
| or 606-545-5035                            | Key Information: Business hours: Monday thru |
| Email support: support@grants.gov          | Friday, 7a.m. – 5p.m. ET, except federal     |
| Self-service customer-based support:       | <u>holidays</u>                              |
| Grants.gov iPortal                         |  |
| Key Information: Customer service          |  |
| business Hours 24/7, except <u>federal</u> |  |
| holidays.                                  |  |

### B. Content and Form of the Application

The NIFA Grants Application Guide is part of the corresponding application package for this RFA. The RFA overrides the NIFA Grants Application Guide if there is a discrepancy between the two documents. Applicants that do not meet the application requirements, to include partial applications, risk being excluded from NIFA's review. NIFA will assign a proposal number to all applications that meet the requirements of this RFA. Applicants must refer to the proposal number when corresponding with NIFA. Table 5 outlines other key instructions for applicants.

**Table 5**: Key Application Instructions

| T   | D C                                    |
|---|--|
| Instruction   | References                             |
|   | (All references are to the <b>NIFA</b> |
|   |  |
|   | <b>Grants Application Guide</b> )      |
| Attachments must be in a portable document format   | Part IV                                |
| <u> </u>  |  |
| (PDF) format.   |  |
| Check the manifest of submitted files to verify   | Part IV                                |
| · · · · · · · · · · · · · · · · · · ·   | raitiv                                 |
| attachments are in the correct format.  |  |
| Conduct an administrative review of the application   | Part IV                                |
| 4.4   | raitiv                                 |
| before submission.  |  |
| Follow the submission instructions.   | Part IV                                |
| Tollow the submission histractions.   | rattiv                                 |
| Provide an accurate email address, where designated, on   | Part V                                 |
| the SF-424 R&R.   |  |
| uic 31-424 R&R.   |  |
| Contact the Grants gov helpdesk for technical support   | N/A                                    |
|   |  |
| and keep a record of the correspondence.  |  |
| Contact NIFA if applicant does not receive  | N/A                                    |
|   |  |
|   |  |
| within 30 days of the application deadline.   |  |
| Contact the <u>Grants.gov</u> helpdesk for technical support and keep a record of the correspondence.  Contact NIFA if applicant does not receive correspondence from NIFA regarding an application within 30 days of the application deadline. | N/A N/A                                |

SF 424 R&R Cover Sheet. See Part V of the NIFA Grants Application Guide for the required certifications and assurances.

SF 424 R&R Project/Performance Site Location(s). See Part V of the NIFA Grants Application Guide

**R&R Other Project Information Form.** See Part V of the NIFA Grants Application Guide

- 1. **Field 7. Project Summary (PS)/Abstract.** The PS must show how the project goals align with the project goals of the AG2PI. See **Part V** of the <u>NIFA Grants Application Guide</u> for instructions and suggested templates.
- 2. **Field 8. Project Narrative (PN).** The PN may not exceed a total of 18 pages using 12-point font with single line spacing (i.e., no more than six lines per vertical inch) and margins no smaller than 1 inch, including all figures and tables. Do not use a condensed or narrow font (e.g., Arial Narrow). The page limits outlined here ensure fair and equitable competition. Appendices to the PN are allowed if they are directly germane to the proposed project. Do not add appendices to circumvent the page limit. The PN must include all the following:
  - a. Introduction;
  - b. Rational and significance;
  - c. Objectives;
  - d. Approach;
  - e. Project timeline;

- f. Centers of Excellence Justification; Applicants requesting consideration of COE status must include their justification at the end of their Project Narratives and within the page limits provided for the project narratives;
- g. Response to previous review (if resubmission): The response to previous review must not exceed 1 page. This does not count towards the page limit for the PN.
- 3. **Field 12**, Add Other Attachments. See **Part V** of the <u>NIFA Grants Application Guide</u>. A Data Management Plan (DMP) is required for this program. Applicants should clearly articulate how the project director (PD) and co-PDs plan to manage and disseminate the data generated by the project. The DMP will be considered during the merit review process (see <u>Part V, B</u> of this RFA, <u>NIFA's Data Management Plan</u>). If you need help in preparing a data management plan or have questions about what constitutes a data management plan for this role (including questions about data privacy, etc.), please contact the NIFA program contacts in <u>Appendix I</u> of this RFA.
  - a. The DMP is limited to two pages.
  - b. The DMP must include making data publicly available and/or compatible with other open data sources. Specifically, applications must provide a description and budgeted plan for the release of research results (e.g., data, germplasm, cultivars, genetic resources) that is compliant with <a href="Departmental Regulation">Departmental Regulation</a> (DR) 1020-006, as well as the Research Terms and Conditions that govern NIFA-funded projects in the areas of plant and animal breeding, genetics, and genomics.
  - c. The terms and conditions can be found at <a href="NIFA-5-3a\_Research Terms">NIFA-5-3a\_Research Terms</a> and <a href="Conditions Oct 2023 CORRECTION (usda.gov">Conditions Oct 2023 CORRECTION (usda.gov)</a>. The following sections are particularly relevant:
    - i. Genetic Resources from Outside of the U.S (page 9);
    - ii. Patents and Inventions including Plant Variety Protection and Release of Animal or Plant Genome Sequence Data, Distribution of Animal or Plant Genomic Resources (*page 14*);
    - iii. Release or Distribution of Plant Germplasm (pages 18-19).

**R&R Senior/Key Person Profile (Expanded)**. See Part V of the NIFA Grants Application Guide for profile requirements, details about the biographical sketch, and suggested support templates. Including:

- 1. Project Director and Key Personnel Profiles
- 2. Biographical Sketches
- 3. Current and Pending Support for each Key Project Participant

**R&R Personal Data**. This information is voluntary and is not a precondition of award (see **Part V** of the <u>NIFA Grants Application Guide</u>).

### **R&R Budget**. See Part V of the NIFA Grants Application Guide.

1. Match – If an applicant concludes that the matching requirements described under <a href="PartIII">PartIII</a>, B of this RFA is not applicable to them; the applicant must include an explanation of their conclusion in the *budget justification*. NIFA will consider this justification when determining final matching requirements or if required matching can be waived. NIFA retains the right to make final determinations regarding matching requirements.

Grants that require matching funds as specified under Part III, B of this RFA must list in their budget justification the matching sources, the identification of the entity(ies) providing the match, and the total pledged amount. A written verification of commitments of matching support (a pledge agreement) is not required. However, applicants are subject to the documentation, valuing and reporting requirements, as specified in 2 CFR Part 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (the Uniform Guidance)," and 7 CFR 3430, "Competitive and Noncompetitive Non-Formula Federal Assistance Programs – General Award Administrative Provisions."

2. Indirect costs (IDC) – See <u>Part IV, C</u> of this RFA for funding restrictions regarding IDC, and **Part V** of the <u>NIFA Grants Application Guide</u> for additional information.

Mentoring Plan (MP). A Mentoring Plan is strongly recommended for all proposals requesting stipends or wages to support undergraduate, graduate students, and postdoctoral scholars. Mentoring Plans for undergraduate students should be separate and distinct from mentoring plans for graduate students that are involved in the project. Mentoring Plans should not only speak to research mentoring for the students, but how they will be mentored to achieve the next level in their academic or professional careers. The Student Mentoring Plan(s) should be provided as a supplementary document/s, as applicable and should be a maximum of one page per corresponding degree level.

Supplemental Information Form. See Part V of the NIFA Grants Application Guide.

- 1. **Field 2. Program to which the applicant is applying.** Enter the program name "Agricultural Genome to Phenome Initiative" and the program code "AG2PI". Accurate entry is critical.
- 2. **Field 8. Conflict of Interest List** as a single attachment for each Senior/Key Person (i.e., one attachment containing all the COI lists). See **Part V** of the <u>NIFA Grants Application</u> Guide.

### C. Funding Restrictions

Indirect Costs (IDC) not to exceed 30 percent of total Federal funds awarded (TFFA). Section 1462(a) and (c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a) and (c)) limits IDC for the overall award to 30 percent of TFFA under a research, education, or extension grant. The maximum IDC rate allowed under the award is determined by calculating the amount of IDC using:

- 1. the sum of an institution's negotiated IDC rate and the IDC rate charged by sub-awardees, if any; or
- 2. 30 percent of TFFA.

The maximum allowable IDC rate under the award, including the IDC charged by the sub-awardee(s), if any, is the lesser of the two rates above.

If the result of number one above is the lesser of the two rates, the grant recipient is allowed to charge the negotiated IDC rate on the prime award and the sub-award(s), if any. Any sub-awards would be subject to the sub-awardee's negotiated IDC rate. The sub-awardee may charge its negotiated IDC rate on its portion of the award, provided the sum of the IDC rate charged under the award by the prime awardee and the sub-awardee(s) does not exceed 30 percent of the TFFA.

If number two above is the lesser of the two rates, then the maximum IDC rate allowed for the overall award, including any sub-award(s), is limited to 30 percent of the TFFA. That is, the IDC of the prime awardee plus the sum of the IDC charged by the sub-awardee(s), if any, may not exceed 30 percent of the TFFA.

In the event of an award, the prime awardee is responsible for ensuring the maximum IDC allowed for the award is not exceeded when combining IDC for the Federal portion (i.e., prime and sub-awardee(s)) and any applicable cost-sharing. Amounts exceeding the maximum allowable IDC are considered unallowable. See sections 408 and 410 of 2 CFR 200.

If the applicant does not have a negotiated rate and NIFA is the cognizant agency, the applicant may request an IDC rate. Applicants are not required to complete the IDC package during the application process and need only to calculate a rate to serve as a basis for requesting IDC. If awarded, the applicant will be required to submit a complete IDC proposal package to obtain a negotiated rate.

Organizations that do not have a current negotiated (including provisional) rate, may elect the De Minimis rate (2 CFR 200.414). The Uniform Guidance offers the option of electing to charge a de Minimis rate of 10 percent of modified total indirect costs (MTDC) which may be used indefinitely. As described above and in 2 CFR 200.403, costs must be charged consistently as either indirect or direct costs but may not be double charged or inconsistently charged as both. If elected, this methodology must be used consistently for all Federal awards until such time as a non-Federal entity chooses to negotiate for a rate, which it may do at any time.

See <u>NIFA Indirect Costs</u> for information including <u>additional resources</u> and <u>NIFA Indirect Cost</u> Guidance Chart.

Funds made available for grants under this subsection shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

### PART V. APPLICATION REVIEW REQUIREMENTS

### A. NIFA's Evaluation Process

NIFA evaluates each application in a two-part process. First, we screen each application to ensure that it meets the administrative requirements set forth in this RFA. All administrative requirements must be met in order for the application to proceed to the next level of review. Second, a scientific peer-review process will be used to technically evaluate applications that have met the administrative requirements using a review panel (see <a href="NIFA Peer Review">NIFA Peer Review</a> Process).

### **Scientific Peer Review Process:**

NIFA selects reviewers for the review panel based upon their training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- 1. Level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities.
- 2. Need to include experts from various areas of specialization within relevant scientific, education, or extension fields.
- 3. Need to include other experts (e.g., producers, range, or forest managers/operators, researchers, public health practitioners, educators, consumers, and commercial reviews) who can assess relevance of the applications to targeted audiences and to program needs.
- 4. Need to include experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, and private profit and non-profit organizations) and geographic locations.
- 5. Need to maintain a balanced composition with regard to race, ethnicity, gender representation, and an equitable age distribution.
- 6. Need to include reviewers who can judge the effective usefulness of each application to producers and the general public.

After each peer review panel has completed its deliberations, the responsible program staff of NIFA will recommend that your project is either approved for support from currently available funds or declined due to insufficient funds or unfavorable review.

NIFA reserves the right to negotiate with the PD/PI and/or the submitting organization or institution regarding project revisions (e.g., reductions in the scope of work, funding level, period, or method of support) prior to recommending any project for funding.

After the review process has been completed, NIFA sends copies of reviews, <u>not</u> including the identity of reviewers, and a summary (if applicable) of the review panel comments to the PD.

*Conflicts of interest*. NIFA takes extreme care to prevent any actual or perceived conflicts of interest that may influence the review or evaluation (see <a href="NIFA Peer Review Process for Competitive Grant Applications">NIFA Peer Review Process for Competitive Grant Applications</a>).

### **B.** Evaluation Criteria

The following evaluation criteria will be used for the review of AG2PI applications:

# 1. Proposal Relevance:

# For Research Proposals

- a. Documentation that the research supports the Purposes of AG2PI identified in Part I, B, is directed toward specific Program Priorities identified in Part I, B, and is designed to accelerate productivity, economic, environmental, and social sustainability of U.S. agriculture with respect to natural resources and the environment, human health and well-being, and rural communities.
- b. Clarity and delineation of objectives including why it is essential to bring together different science and engineering disciplines to achieve them;
- c. Adequacy of the description of the undertaking including how contributing disciplines will be integrated;
- d. Suitability of the Data Management Plan;
- e. Probability of success of the project given the level of scientific innovation, and risk-reward balance.
- f. When international or public-private partnerships are involved, the project leverages expertise, resources, and experience to achieve greater impact or brings foreign or international research efforts to address issues relevant to U.S. agriculture.

### **For Conference Proposals**

- a. Demonstrated need. Justification of conference, curriculum proposed, or planned activities. Application adequately addresses at least one of the FY 2024 AG2PI priorities referenced in Part I, B.
- b. Adequacy of background research. Listing of recent meetings, outreach activities or other activities on the same subject.
- c. Stakeholder involvement. Application includes names and organizational affiliations of the chair and other members of the organizing committee or planning team members, including information on how stakeholders were selected, how their input was solicited and incorporated, and a description of their future involvement in the project.
- d. Quality of proposed program (or agenda) for the conference activity and planning proposals, including a listing of scheduled participants, their institutional affiliations, and a description of their expertise. For curriculum products, a description of the program including the target audience, expected number of participants, a detailed syllabus, experiential training activities, how the program fits within ongoing activities.
- e. Describe how the impact of the programs being proposed will be assessed.
- f. Potential for the project to make a difference.

# 2. Qualifications of Project Personnel, Adequacy of Facilities, and Project Management:

a. Qualifications of applicant (team) to conduct the proposed project, including performance record and potential for future accomplishments.

- b. Demonstrated awareness of previous and alternative approaches to the problem identified in the application.
- c. Planning and administration of the proposed project, including time allocated for systematic attainment of objectives, and planned administration of the proposed project and its maintenance, partnerships.
- d. Demonstration of strong team coupling, high leveraging, or co-development of integrated or complementary tools and techniques. Integration of contributing disciplines' knowledge and modes of thinking.

# 3. Proposal Quality for All Project Types

- a. Conceptual adequacy. Application clearly states objectives and how they will be achieved within the timeframe, scope, and budget of the proposed project;
- b. Approach. The proposed method and approach are appropriate to project objectives;
- c. Involvement of appropriate and relevant expertise;
- d. Experience of key project personnel;
- e. Appropriateness of budget;
- f. Feasibility, probability of success; and
- g. Adherence to guidelines and appropriate Data Management Plan.

### C. Centers of Excellence

In addition to evaluating applicants using the criterion listed in Part V, B of this RFA, NIFA will use the COE standards described in this RFA to evaluate applicants that rank highly meritorious and requested to be considered as a COE. In instances where applicants are found to be equally meritorious with the application of a non-COE applicant, NIFA will prioritize the COE applicant meeting the COE criteria. NIFA will effectively use the COE prioritization as a "tie breaker." Applicants that rank highly meritorious but who did not request consideration as a COE or who are not deemed to have met the COE standards may still receive funding.

Applicants that meet the COE requirements will have the COE designation in their notice of award. Entities recognized as COE will maintain that distinction for the duration of their period of performance or as identified in the terms and conditions of that award.

### D. Organizational Management Information

Applicants must submit specific management information prior to an award and update the information as needed. Applicants may only need to provide an update if there was a change in previously provided information under this or another NIFA program. NIFA provides the requisite forms during the pre-award process. Although an applicant may be eligible for award under this program, there are factors that may exclude an applicant from receiving federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual, or a determination that an applicant is not responsible).

# **E.** Application Disposition

Applicants may withdraw at any time before NIFA makes a final funding decision. NIFA will retain all applications, including withdrawn applications and unfunded applications.

### PART VI. AWARD ADMINISTRATION

### A. General

Within the limit of funds authorized, the NIFA awarding official will make grants to responsible and eligible applicants whose applications are judged most meritorious under the procedures set forth in this RFA. The date specified by the NIFA awarding official as the effective date of the grant must be no later than September 30 of the federal fiscal year in which the project is approved for support and funds are appropriated for such purpose, unless otherwise permitted by law. The project need not be initiated on the grant effective date, but as soon thereafter as practical so that project goals may be attained within the funded project period. All funds granted by NIFA under this RFA may be used only for the purpose for which they are granted in accordance with the approved application and budget, regulations, terms and conditions of the award, applicable federal cost principles, USDA assistance regulations, and NIFA General Awards Administration Provisions, 7 CFR Part 3430, subparts A through E.

*Award Notice*. The award document will provide pertinent instructions and information as described in 2 CFR 200.211 (see NIFA's Terms and Conditions).

# B. Administrative and National Policy Requirements

Several federal statutes and regulations apply to grant applications and the projects outlined in this RFA (some are listed here: <u>Federal Regulations</u>). Unless specifically noted by statue or award-specific requirements, <u>NIFA Federal Assistance Policy Guide</u> applies to all NIFA awards.

# C. Expected Program Outputs and Reporting Requirements

Output and reporting requirements are included in the <u>award terms and conditions</u>. If there are any program or award-specific award terms, they will be identified in the Award Notice.

### PART VII. OTHER INFORMATION

## A. Use of Funds and Changes in Budget

**Delegation of fiscal responsibility**. Unless the terms and conditions of the award state otherwise, awardees may not in whole or in part delegate or transfer to another person, institution, or organization the responsibility for use or expenditure of award funds.

Changes in Budget or Project Plans. In accordance with <u>2 CFR 200.308</u>, awardees must request prior approval from NIFA for the following program or budget-related reasons (the awardee is subject to the terms and conditions identified in the award):

- 1. Change in the scope or the objective of the project or program without prior written approval (even if there is no associated budget revision requiring).
- 2. Change in a key person specified in the application or the federal award.
- 3. Disengagement from the project for more than three months, or a 25 percent reduction in time devoted to the project.
- 4. Inclusion of costs that require prior approval in accordance with <u>2 CFR 200 Subpart E</u> (Cost Principles), or <u>45 CFR Part 75 Appendix IX</u>, (Principles for Determining Costs Applicable to Research and Development under Awards and Contracts with Hospitals), or <u>48 CFR</u>, unless waived by the federal awarding agency, <u>48 CFR Part 31</u>, Contract Cost Principles and Procedures;
- 5. Transfer of funds budgeted for participant support costs to other categories of expense (2 CFR 200.456 Participant support costs);
- 6. Sub-awarding, transferring or contracting out of any work under a federal award, including fixed amount sub-awards (see <u>2 CFR 200.333, Fixed Amount Sub-awards</u>), unless described in the application and funded in the approved federal awards. This provision does not apply to the acquisition of supplies, material, equipment, or general support services.
- 7. Changes in the approved cost-sharing or matching provided by the non-federal entity; and
- 8. The need for additional federal funds to complete the project.

### B. Confidential Aspects of Applications and Awards

When an application results in an award, it becomes a part of NIFA transaction records, which are available to the public. Information that the Secretary of Agriculture determines to be confidential, privileged, or proprietary in nature will be held in confidence to the extent permitted by law. Therefore, applicants should clearly mark any information within the application they wish to have considered as confidential, privileged, or proprietary. NIFA will retain a copy of an application that does not result in an award for three years. Such an application will be released only with the consent of the applicant or to the extent required by law. An applicant may withdraw at any time prior to the final action thereon.

### C. Regulatory Information

This program is not subject to the provisions of Executive Order 12372, which requires intergovernmental consultation with state and local officials. Under the provisions of the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35), the collection of information requirements contained in this notice have been approved under OMB Document No. 0524-0039.

# D. Limited English Proficiency

NIFA offers language access services, such as interpretation and translation of vital information, free of charge. If you need interpretation or translation services, please visit <a href="NIFA Language">NIFA Language</a> <a href="Access Services">Access Services</a> or contact Lois Tuttle, Equal Opportunity Specialist, at <a href="Lois.Tuttle@usda.gov">Lois.Tuttle@usda.gov</a> or <a href="Access-Services">(443) 386-9488</a>.

### **APPENDIX I: AGENCY CONTACT**

# **Program Contacts**

Christian Tobias
Christian.Tobias@usda.gov
610-312-7619

Angelica Van Goor <u>Angelica.Van.Goor@usda.gov</u> 816-584-5304

For administrative questions related to:

- 1. Grants.gov, see Part IV of this RFA
- 2. Other RFA or application questions, please email grantapplicationquestions@usda.gov
- 3. Awards under this RFA, please email awards@usda.gov

### **U.S. Postal Mailing Address:**

National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, MS 10000 Kansas City, MO 64141-6205

### **Courier/Package Delivery Address:**

National Institute of Food and Agriculture U.S. Department of Agriculture 2312 East Bannister Road, MS 10000 Kansas City, MO 64141-3061

### APPENDIX II: GLOSSARY OF TERMS

# **Glossary of Terms**

Agricultural Genome to Phenome Initiative – AG2PI

Assistance Listing Number-ALN

Authorized Representative – AR

Centers of Excellence – COE

Data Management Plan – DMP

Indirect Costs – IDC

Minority Serving Institutions – MSI

National Agricultural Research, Extension, and Teaching Policy Act of 1977 – NARETPA

National Institute of Food and Agriculture – NIFA

Portable document format – PDF

Project Director – PD

Project Narrative – PN

Project Summary – PS

Request for Application – RFA

Research, Education, and Economics – REE

Total Federal Funds Awarded – TFFA

United States Department of Agriculture – USDA

### **APPENDIX III: DEFINITIONS**

Refer to <u>7 CFR 3430 Competitive and Noncompetitive Non-formula Federal Assistance Programs – General Award Administrative Provisions</u> for additional definitions.

### **Definitions**

### Continuation Award:

An award instrument by which NIFA agrees to support a specified level of effort for a predetermined period of time with a statement of intention to provide additional support at a future date, provided that performance has been satisfactory, appropriations are available for this purpose, and continued support would be in the best interest of the federal government and the public.

### Matching:

The process through which a grant recipient match awarded USDA funds with cash and in-kind contributions on a dollar-for-dollar basis. The matching funds must derive from non-Federal sources.

### New Application:

An application not previously submitted to a program.

### Renewal Application:

A project application that seeks additional funding for a project beyond the period that was approved in an original or amended award.

### Resubmitted Application:

A project application that was previously submitted to a program, but the application was not funded.

### Resubmitted Renewal Application:

A project application that requests additional funding for a project beyond the period that was approved in the original award. This is an application that had previously been submitted for renewal to but not funded.